# ECS 455 Chapter 1 Introduction

#### 1.2 Wireless Channel (Part 1)

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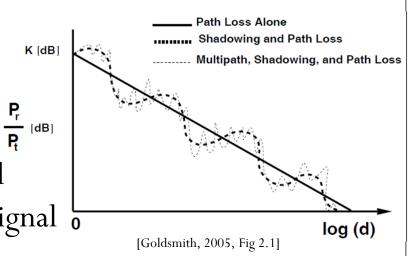
#### **Office Hours:**

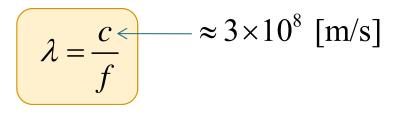
BKD, 6th floor of Sirindhralai building

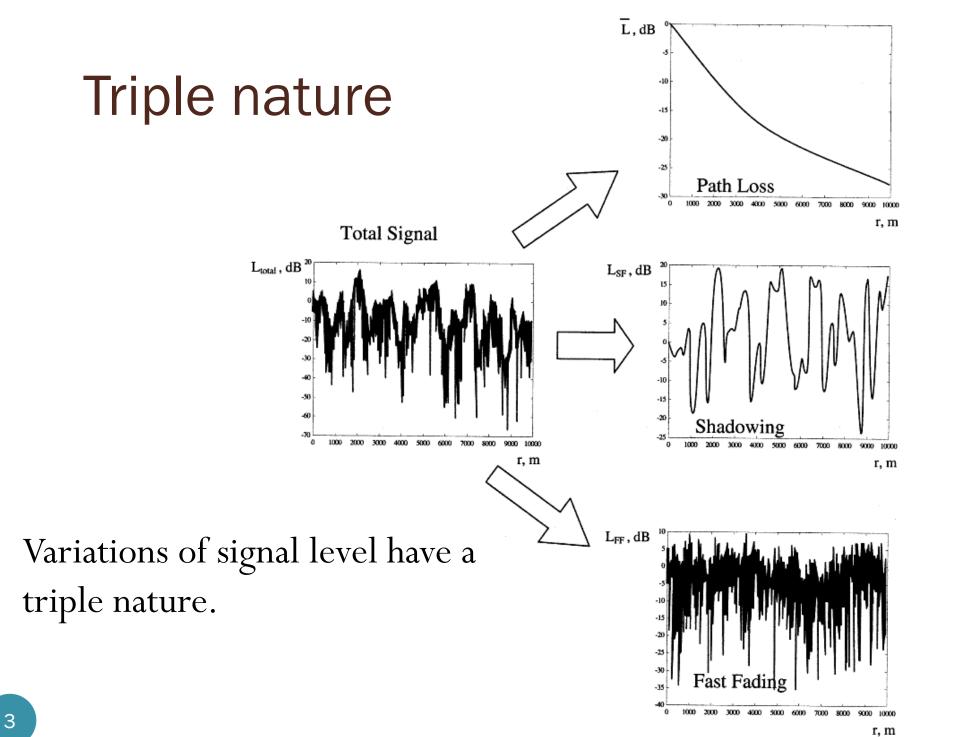
Tuesday Wednesday Friday 14:20-15:20 14:20-15:20 9:15-10:15

## Wireless Channel

- Large-scale propagation effects
  - 1. Path loss
  - 2. Shadowing
- Small-scale propagation effects
  - Variation due to the constructive and destructive addition of multipath signal o components.
  - Occur over very short distances, on the order of the signal wavelength.



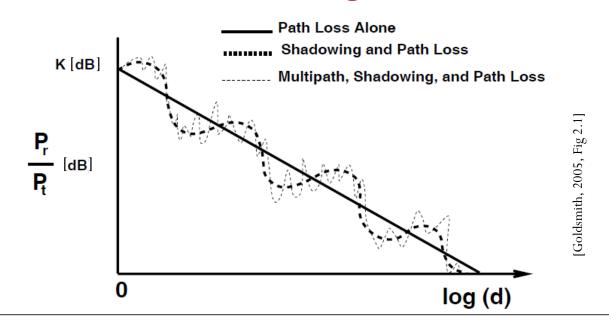




[Blaunstein, 2004, Fig 12.4]

## Path loss

- Caused by
  - dissipation of the power radiated by the transmitter
  - effects of the propagation channel
- Models generally assume that it is the same at a given transmit-receive distance.
- Variation occurs over **large distances** (100-1000 m)



Path Loss (PL)  

$$P_{L} = \frac{\text{Transmitted power}}{\text{Average received power}} = \frac{P_{t}}{P_{r}}$$
Averaged over any random variations  
• Free-Space Path Loss Model:  

$$\frac{P_{r}}{P_{t}} \propto \frac{1}{d^{2}}$$

•  $P_r$  falls off inversely proportional to the square of the distance *d* between the Tx and Rx antennas.

• **Simplified** Path Loss Model:

$$\frac{P_r}{P_t} = K \left(\frac{d_0}{d}\right)^{\gamma}$$

- To be discussed

(Path loss of the free-space model)

## Friis Equation (Free-Space PL)

• One of the most fundamental equations in antenna theory 1 for non-directional antennas

$$\frac{P_r}{P_t} = \left(\frac{\sqrt{G_{Tx}G_{Rx}}\lambda}{4\pi d}\right)^2 = \left(\frac{\sqrt{G_{Tx}G_{Rx}}c}{4\pi df}\right)^2$$

• Lose more power at higher frequencies.

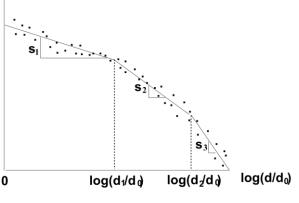
- Some of these losses can be offset by reducing the maximum operating range.
  - The remaining loss must be compensated for by increasing the antenna gain.

## More Path Loss Models

- Analytical models
  - Maxwell's equations
  - Ray tracing

Prohibitive (complex, impractical)
Need to know/specify "almost
everything" about the environment.

- Empirical models: Developed to predict path loss in typical environment.
  - Okumura
  - Hata
  - COST 231
    - by EURO-COST (EUROpean COoperative for Scientific and Technical research)
  - Piecewise Linear (Multi-Slope) Model
- Tradeoff: Simplified Path Loss Model



# Simplified Path Loss Model $\left| \frac{P_r}{P_r} = K \left( \frac{d_0}{d} \right)^r \right|$

$$\underbrace{\frac{10\log_{10} \frac{P_r}{P_t}}{[dB]} = \left(10\log_{10} Kd_0^{\gamma}\right) - 10\gamma\log_{10} d}_{[dB]}$$

 K is a unitless constant which depends on the antenna characteristics and the average channel attenuation Captures the essence of signal propagation without resorting to complicated path loss models, which are only approximations to the real channel anyway!

- $\left(\frac{\lambda}{4\pi d_0}\right)^2$  for free-space path gain at distance  $d_0$  assuming omnidirectional antennas
- $d_0$  is a reference distance for the antenna far-field
  - Typically 1-10 m indoors and 10-100 m outdoors.
- $\gamma$  is the **path loss exponent**.

(Near-field has scattering phenomena.)

## Path Loss Exponent γ

- 2 in free-space model
- 4 in two-ray model [Goldsmith, 2005, eq. 2.17]
- Cellular: 3.5 4.5 [Myung and Goodman, 2008, p 17]
- Larger @ higher freq.
- Lower @ higher antenna heights

Environment	$\gamma$ range
Urban macrocells	3.7-6.5
Urban microcells	2.7-3.5
Office Building (same floor)	1.6-3.5
Office Building (multiple floors)	2-6
Store	1.8-2.2
Factory	1.6-3.3
Home	3

## **Indoor Attenuation Factors**

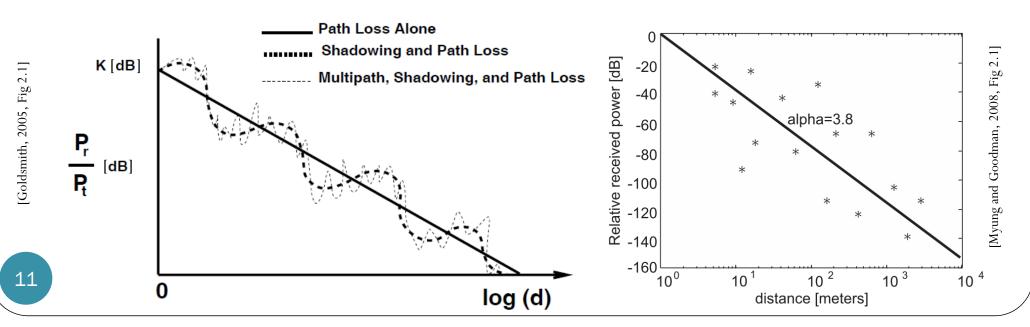
- Building penetration loss: 8-20 dB (better if behind windows)
- Attenuation between floors
  - @ 900 MHz
    - 10-20 dB when the Tx and Rx are separated by a single floor
    - 6-10 dB per floor for the next three subsequent floors
    - A few dB per floor for more than four floors
  - Typically worse at higher frequency.
- Attenuation across floors

Partition Type	Partition Loss in dB
Cloth Partition	1.4
Double Plasterboard Wall	3.4
Foil Insulation	3.9
Concrete wall	13
Aluminum Siding	20.4
All Metal	26

[Goldsmith, 2005, Sec. 2.5.5]

## Shadowing (or Shadow Fading)

- Additional attenuation caused by **obstacles** (**large objects** such as buildings and hills) between the transmitter and receiver.
  - Think: cloud blocking sunlight
- Attenuate signal power through absorption, reflection, scattering, and diffraction.
- Variation occurs over distances proportional to the length of the obstructing object (**10–100 m** in outdoor environments and less in indoor environments).



## Shadowing (Analogy)



[https://www.flickr.com/photos/pokoroto/4045274462]

[http://spacegrant.montana.edu/MSIProject/NDVI.html]

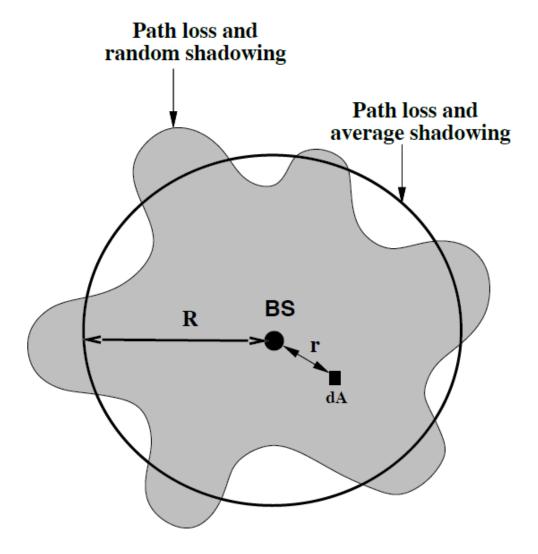


## Shadowing (Analogy)



Shadows thousands of miles long cast by clouds on Earth's surface.

#### Contours of Constant Received Power



[Goldsmith, 2005, Fig 2.10]

## Log-normal shadowing

- Random variation due to blockage from objects in the signal path and changes in reflecting surfaces and scattering objects
   → random variations of the received power at a given distance
  - $10\log_{10}\frac{P_t}{P_r} \sim \mathcal{N}(\mu, \sigma^2)$
- 4 13 dB with higher values in urban areas and lower ones in flat rural environments.

• This model has been confirmed empirically to accurately model the variation in received power in both outdoor and indoor radio propagation environments.

[Erceg et al, 1999] and [Ghassemzadeh et al, 2003]

## Log-normal shadowing (motivation)

• Location, size, dielectric properties of the blocking objects as well as the changes in reflecting surfaces and scattering objects that cause the random attenuation are generally unknown

 $(A \setminus Y)$ 

- $\Rightarrow$  statistical models must be used to characterize this attenuation.
- Assume a large number of shadowing objects between the transmitter and receiver

Without the objects, the attenuation factor is 
$$K\left(\frac{a_0}{d}\right)^r$$
.  
Each object introduce extra power loss factor of  $\alpha_i$ .  
So,  $\frac{P_r}{P_t} = K\left(\frac{d_0}{d}\right)^r \prod_i \alpha_i$   
 $10 \log_{10} \frac{P_r}{P_t} = 10 \log_{10} K\left(\frac{d_0}{d}\right)^r + \sum_i 10 \log_{10} \alpha_i$   
By CLT, this is approximately Gaussian

## PDF of Lognormal RV

• Consider a random variable

$$R = \frac{P_t}{P_r}$$

• Suppose

$$10\log_{10} R \sim \mathcal{N}(\mu, \sigma^2)$$

Here, it should be clear why the unit of  $\sigma$  is in dB.

• Then,

$$f_{R}(r) = \begin{cases} \frac{1}{\sqrt{2\pi\sigma}} \frac{10}{\ln 10} \frac{1}{r} e^{-\frac{1}{2} \left(\frac{(10\log r) - \mu}{\sigma}\right)^{2}}, & r > 0\\ 0, & \text{otherwise.} \end{cases}$$

For typical cellular environment,  $\sigma$  is in the range of 5-12 dB. [Proakis and Salehi, 2007, p 843]

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#### Similar Derivation in ECS315 HW14

**Problem 4.** In wireless communications systems, fading is sometimes modeled by *lognor-mal* random variables. We say that a positive random variable Y is lognormal if  $\ln Y$  is a normal random variable (say, with expected value m and variance  $\sigma^2$ ).

Hint: First, recall that the ln is the natural log function (log base e). Let  $X = \ln Y$ . Then, because Y is lognormal, we know that  $X \sim \mathcal{N}(m, \sigma^2)$ . Next, write Y as a function of X.

(a) Check that Y is still a continuous random variable.

(b) Find the pdf of Y.

#### Solution:

Because  $X = \ln(Y)$ , we have  $Y = e^X$ . So, here, we consider Y = g(X) where the function g is defined by  $g(x) = e^x$ .

- (a) First, we count the number of solutions for y = g(x). Note that for each value of y > 0, there is only one x value that satisfies y = g(x). (That x value is  $x = \ln(y)$ .) For  $y \le 0$ , there is no x that satisfies y = g(x). In both cases, the number of solutions for y = g(x) is countable. Therefore, because X is a continuous random variable, we conclude that Y is also a continuous random variable.
- (b) Start with  $Y = e^X$ . We know that exponential function gives strictly positive number. So, Y is always strictly positive. In particular,  $F_Y(y) = 0$  for  $y \le 0$ .

Next, for y > 0, by definition,  $F_Y(y) = P[Y \le y]$ . Plugging in  $Y = e^X$ , we have

$$F_Y(y) = P\left[e^X \le y\right].$$

Because the exponential function is strictly increasing, the event  $[e^X \le y]$  is the same as the event  $[X \le \ln y]$ . Therefore,

$$F_Y(y) = P\left[X \le \ln y\right] = F_X\left(\ln y\right)$$

ECS 315 HW

HW Solution 14 — Due: Not Due

2016/1

Combining the two cases above, we have

 $F_Y(y) = \begin{cases} F_X(\ln y), & y > 0, \\ 0, & y \le 0. \end{cases}$ 

Finally, we apply

$$f_Y(y) = \frac{d}{dy}F_Y(y)$$

For y < 0, we have  $f_Y(y) = \frac{d}{dy} 0 = 0$ . For y > 0,

$$f_Y(y) = \frac{d}{dy} F_Y(y) = \frac{d}{dy} F_X(\ln y) = f_X(\ln y) \times \frac{d}{dy} \ln y = \frac{1}{y} f_X(\ln y).$$
(14.2)

Therefore,

$$f_Y(y) = \begin{cases} \frac{1}{y} f_X(\ln y), & y > 0, \\ 0, & y < 0. \end{cases}$$

At y = 0, because Y is a continuous random variable, we can assign any value, e.g. 0, to  $f_Y(0)$ . Then

$$f_Y(y) = \begin{cases} \frac{1}{y} f_X(\ln y), & y > 0, \\ 0, & \text{otherwise.} \end{cases}$$

Here,  $X \sim \mathcal{N}(m, \sigma^2)$ . Therefore,

$$f_X(x) = \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{1}{2}\left(\frac{x-m}{\sigma}\right)^2}$$

and

$$f_Y(y) = \begin{cases} \frac{1}{\sqrt{2\pi\sigma}y} e^{-\frac{1}{2}\left(\frac{\ln(y)-m}{\sigma}\right)^2}, & y > 0, \\ 0, & \text{otherwise.} \end{cases}$$

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#### PDF of Lognormal RV (Proof)

# Suppose $c \log_b Y \sim \mathcal{N}(\mu, \sigma^2)$ . Let $X = c \log_b Y$ . Note that $X = c \log_b Y = \frac{c}{\ln b} \ln(Y) = k \ln(Y)$ . Then, $Y = e^{\frac{X}{k}}$ where $k = \frac{c}{\ln b}$ .

Recall, from ECS315 that to find the pdf of Y = g(X) from the pdf of X, we first find the cdf of Y and then differentiate to get its pdf:

$$F_{Y}(y) = P[Y \le y] = P\left[e^{\frac{X}{k}} \le y\right] = P\left[X \le k \ln(y)\right] = F_{X}\left(k \ln(y)\right),$$
  
$$f_{Y}(y) = \frac{d}{dy}F_{X}\left(k \ln(y)\right) = \frac{k}{y}f_{X}\left(k \ln(y)\right) = \frac{1}{\sqrt{2\pi\sigma}}\frac{k}{y}e^{-\frac{1}{2}\left(\frac{k \ln(y) - \mu}{\sigma}\right)^{2}}.$$

#### PDF of Lognormal RV (Proof)

# Suppose $c \log_b Y \sim \mathcal{N}(\mu, \sigma^2)$ . Let $X = c \log_b Y$ . Note that $X = c \log_b Y = \frac{c}{\ln b} \ln(Y) = k \ln(Y)$ . Then, $Y = e^{\frac{X}{k}}$ where $k = \frac{c}{\ln b}$ .

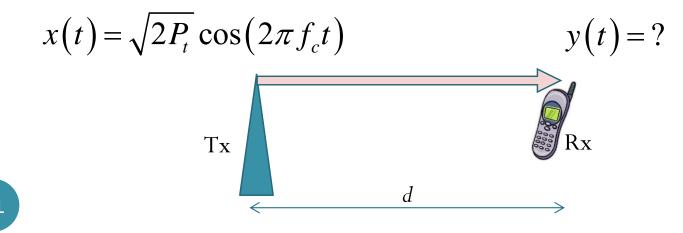
Alternatively, to find the pdf of Y = g(X) from the pdf of X, when g is monotone, we may use the formula:

$$f_{X}(x)|dx| = f_{Y}(y)|dy| \longrightarrow \left[f_{Y}(y) = \left|\frac{dx}{dy}\right|f_{X}(x)\right]$$

This gives  $f_Y(y) = \frac{k}{y} f_X(c \log_b y)$  (same as what we found earlier).

## Ray tracing (a prelude)

- Approximate the solution of Maxwell's equations
  - Approximate the propagation of electromagnetic waves by representing the wavefronts as simple **particles**.
  - Thus, the reflection, diffraction, and scattering effects on the wavefront are approximated using **simple geometric equations** instead of Maxwell's more complex wave equations.
- Assumption: the received waveform can be approximated by the sum of the free space wave from the transmitter plus the reflected free space waves from each of the reflecting obstacles.



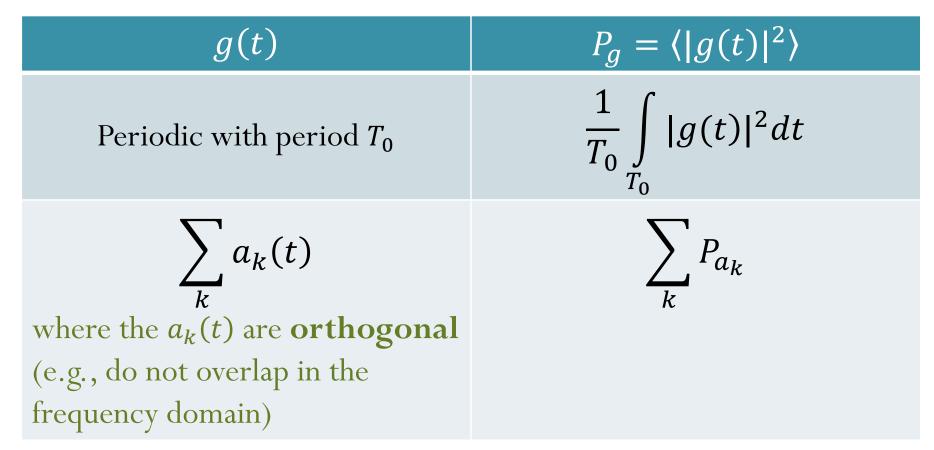
#### **Review: Energy and Power**

- Consider a signal g(t).
- Total (normalized) energy:  $E_{g} = \int_{-\infty}^{\infty} |g(t)|^{2} dt = \lim_{T \to \infty} \int_{-T}^{T} |g(t)|^{2} dt = \int_{-\infty}^{\infty} |G(f)|^{2} df.$   $\Psi_{g}(f) = |G(f)|^{2}$
- Average (normalized) **power**:

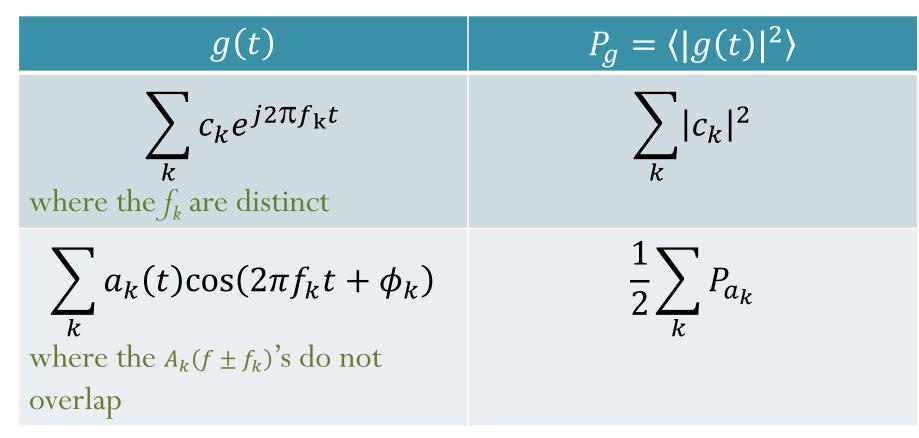
ESD: Energy Spectral Density

$$\left( \frac{P_g}{\left| g\left(t\right) \right|^2} \right) = \lim_{T \to \infty} \frac{1}{T} \int_{-T/2}^{T/2} \left| g\left(t\right) \right|^2 dt = \lim_{T \to \infty} \frac{1}{2T} \int_{-T}^{T} \left| g\left(t\right) \right|^2 dt$$

## **Review: Power Calculation**

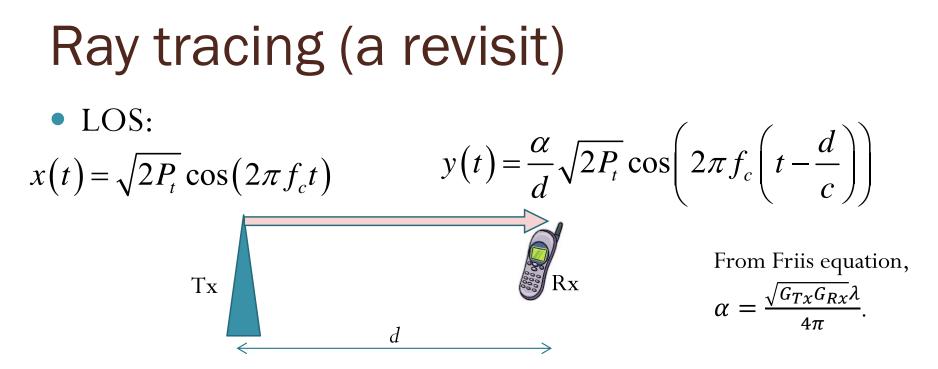


## **Review: Power Calculation**



#### Power Calculation: Additional Formula

g(t)	$P_g = \langle  g(t) ^2 \rangle$
$a_1 \cos(2\pi f_c t + \phi_1) + a_2 \cos(2\pi f_c t + \phi_2)$	$= \frac{1}{2}  a_1 e^{j\phi_1} + a_2 e^{j\phi_2} ^2$ = $\frac{1}{2} a_1^2 + \frac{1}{2} a_2^2 + a_1 a_2 \cos(\phi_2 - \phi_1)$

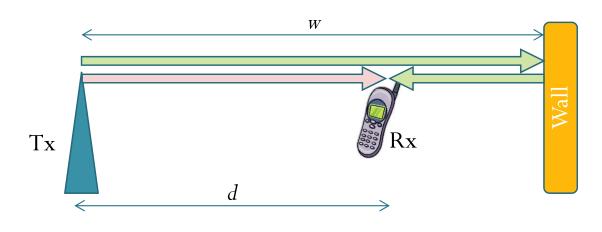


• Multipath Reception

$$y(t) = \frac{\alpha}{d} \sqrt{2P_t} \cos\left(2\pi f_c\left(t - \frac{d}{c}\right)\right)$$

# Ex. One reflecting wall (1/4)

- There is a fixed antenna transmitting the sinusoid *x*(*t*), a fixed receive antenna, and a single perfectly reflecting large fixed wall.
- Assume that the wall is very large, the reflected wave at a given point is the same (except for a sign change) as the free space wave that would exist on the opposite side of the wall if the wall were not present



$$y(t) = \sum_{i=1}^{n} R_{i} \frac{\alpha}{r_{k}} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{t}{c}\right)\right)$$

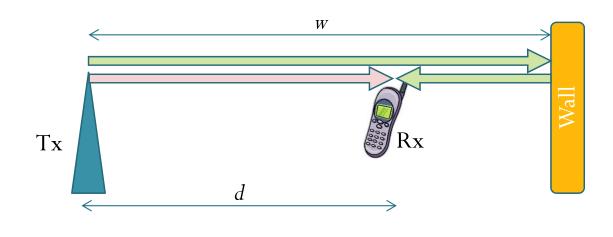
$$Ex. One reflecting wall (2/4)$$

$$x(t) = \sqrt{2P_{i}} \cos\left(2\pi f_{c}t\right)$$

$$y(t) = \frac{\alpha}{d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{d}{c}\right)\right) - \frac{\alpha}{2w - d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{2w - d}{c}\right)\right)$$

$$= \frac{\alpha}{d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{d}{c}\right)\right) - \frac{\alpha}{2w - d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{2w - d}{c}\right)\right)$$

$$= \frac{\alpha}{d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{d}{c}\right)\right) + \frac{\alpha}{2w - d} \sqrt{2P_{i}} \cos\left(2\pi f_{c}\left(t - \frac{2w - d}{c}\right)\right)$$



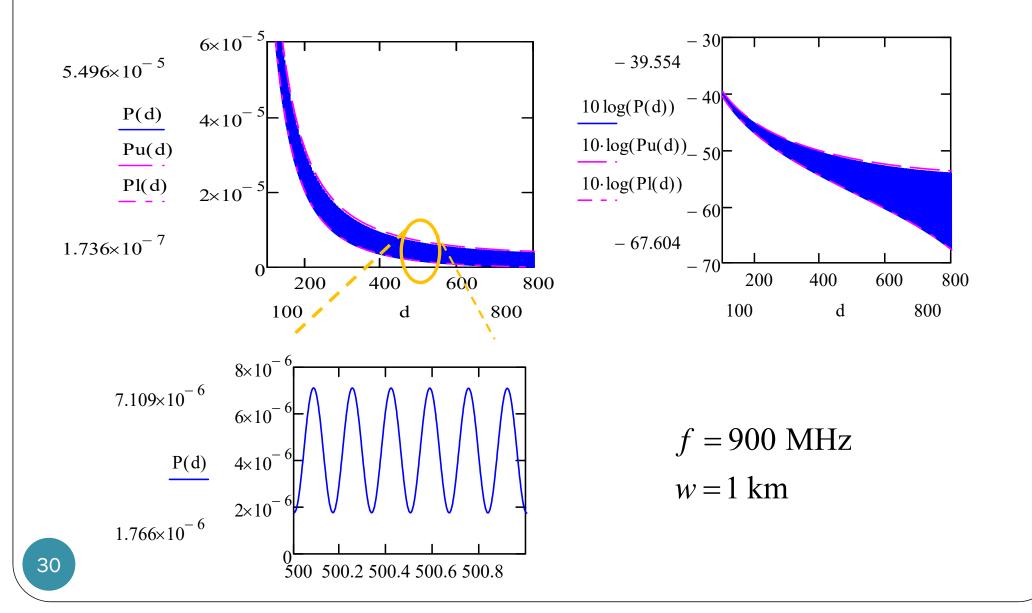
Ex. One reflecting wall (3/4)  

$$y(t) = \frac{\alpha}{d} \sqrt{2P_{t}} \cos\left(2\pi f_{c}\left(t - \frac{d}{c}\right)\right) + \frac{\alpha}{2w - d} \sqrt{2P_{t}} \cos\left(2\pi f_{c}\left(t - \frac{2w - d}{c}\right) - \pi\right)$$

$$P_{y} = P_{t}\left(\left(\frac{\alpha}{d}\right)^{2} + \left(\frac{\alpha}{2w - d}\right)^{2} + 2\frac{\alpha^{2}}{d(2w - d)} \cos(\Delta\phi)\right)$$

$$\Delta\phi = 2\pi f_{c} \frac{2w - 2d}{c} + \pi = 2\pi \frac{1}{\lambda/2}(w - d) + \pi$$
form constructive and destructive interference pattern
$$T_{x} = \frac{w}{d}$$

## Ex. One reflecting wall (4/4)



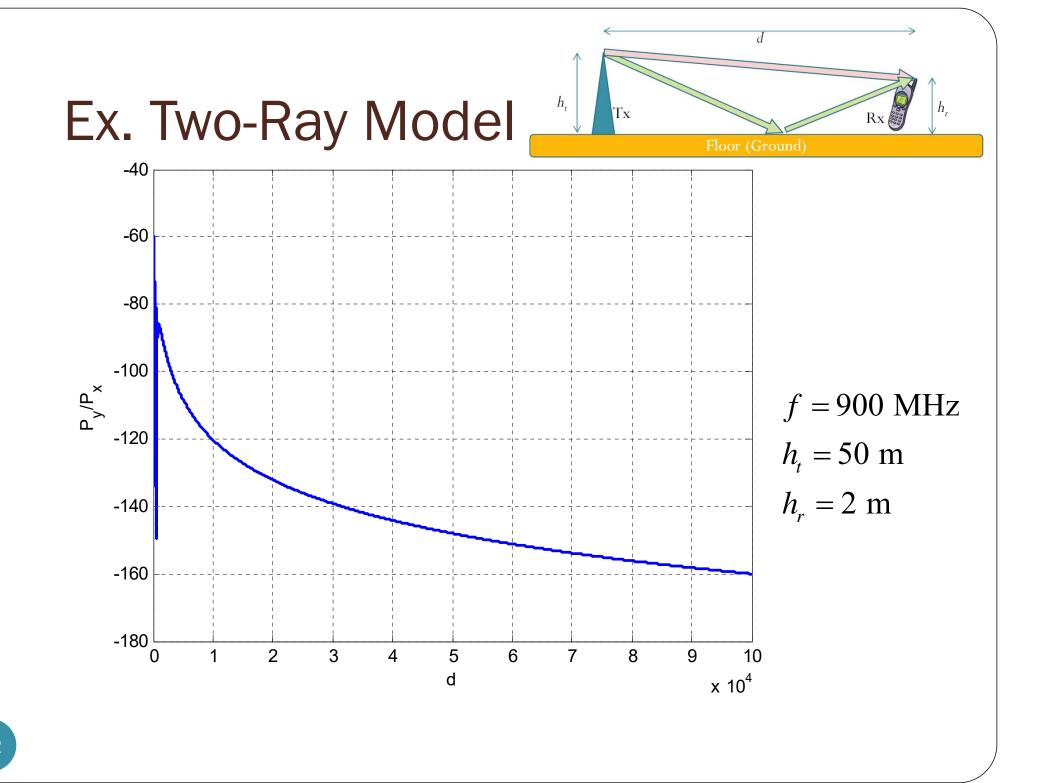
Ex. Two-Ray Model  

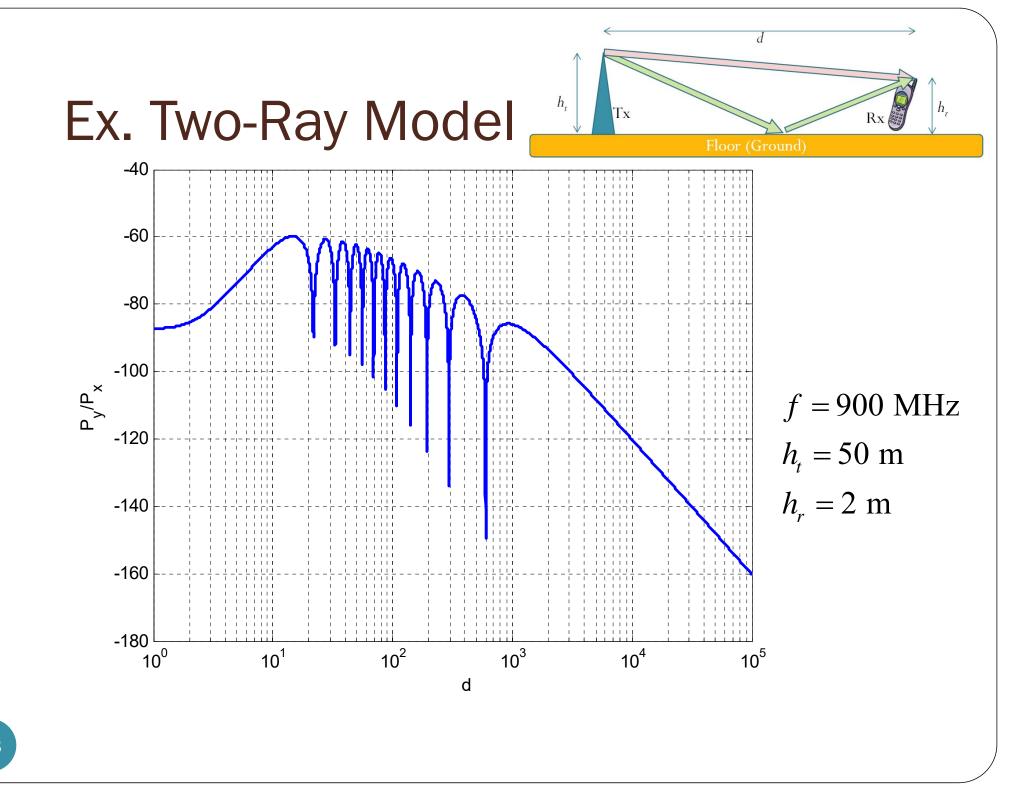
$$Delay spread = \frac{r_2}{c} - \frac{r_1}{c}$$

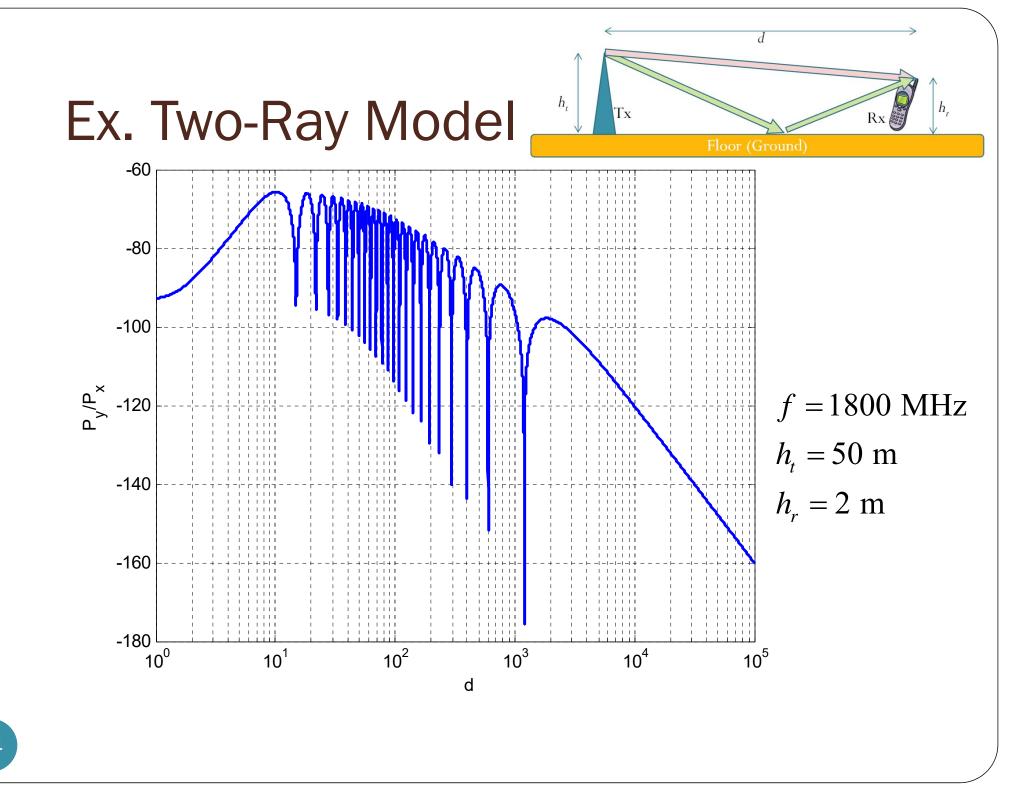
$$y(t) = \frac{\alpha}{r_1} \sqrt{2P_t} \cos\left(2\pi f_c\left(t - \frac{r_1}{c}\right)\right) - \frac{\alpha}{r_2} \sqrt{2P_t} \cos\left(2\pi f_c\left(t - \frac{r_2}{c}\right)\right)$$

$$\frac{P_y}{P_x} = \left|\frac{\alpha}{r_1}e^{-j2\pi f_c\frac{n}{c}} - \frac{\alpha}{r_2}e^{-j2\pi f_c\frac{r_2}{c}}\right|^2 = \left|\frac{\alpha}{r_1} - \frac{\alpha}{r_2}e^{-j2\pi f_c\frac{r_2-r_1}{c}}\right|^2$$

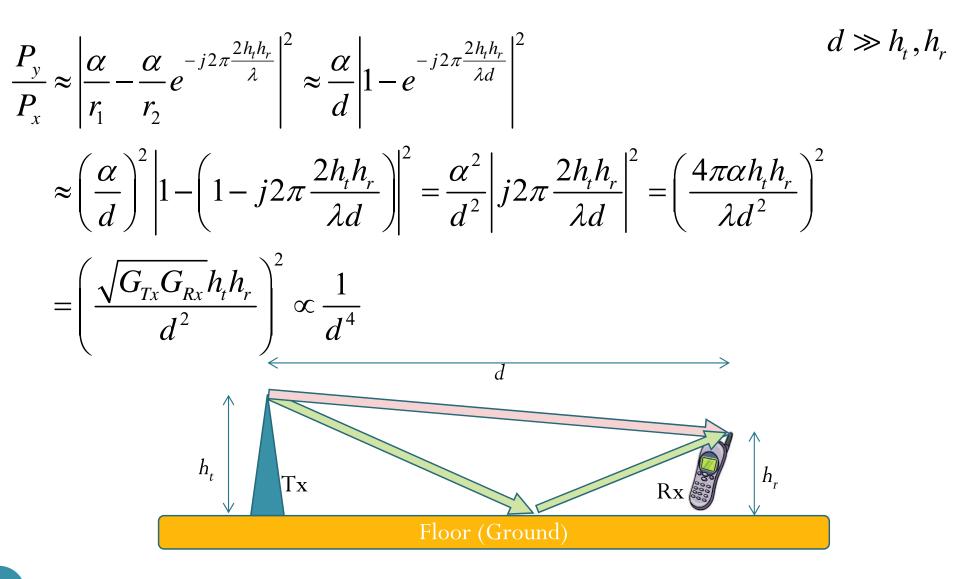
$$h_t \int \frac{1}{r_x} \int \frac{1}{r_x$$

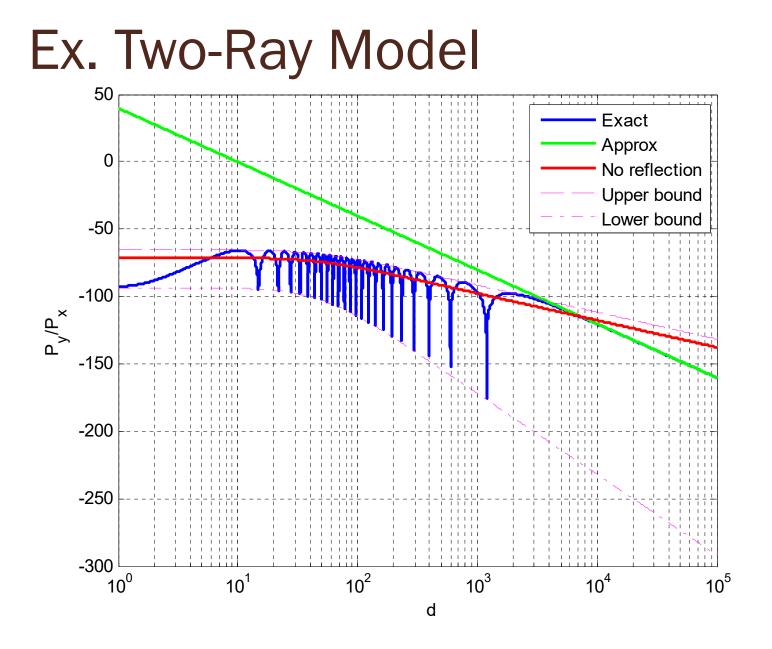


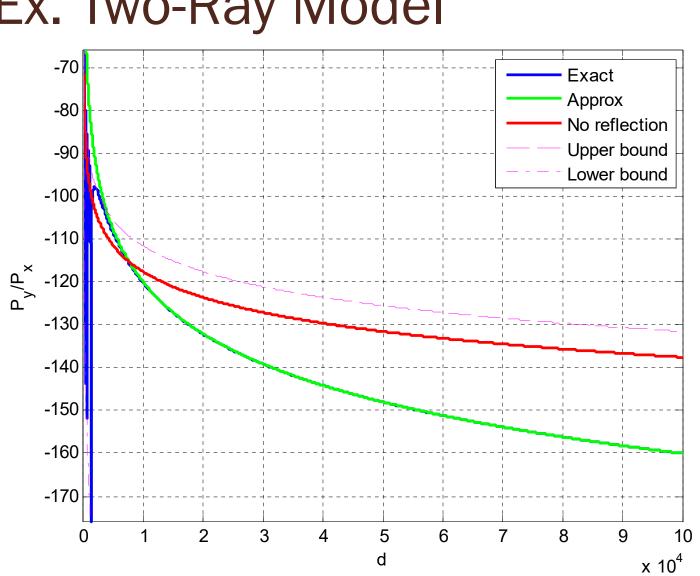




## Ex. Two-Ray Model (Approximation)







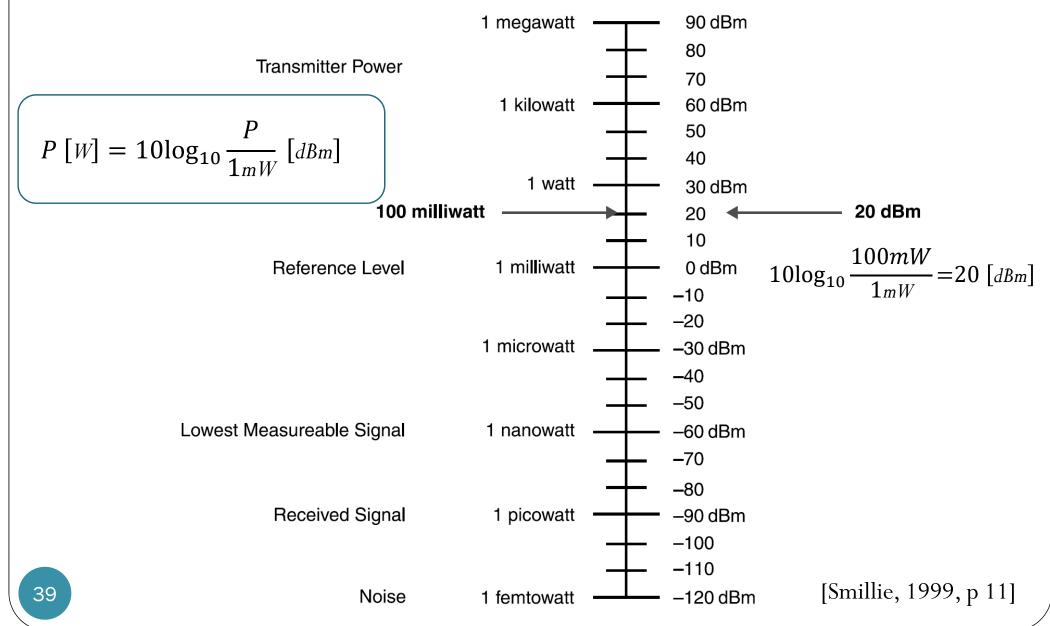
## Ex. Two-Ray Model

## dBm

- The range of RF power that must be measured in cellular phones and wireless data transmission equipment varies from
  - hundreds of watts in base station transmitters to
  - picowatts in receivers.
- For calculations to be made, all powers must be expressed in the same power units, which is usually **milliwatts**.
  - A transmitter power of 100 W is therefore expressed as 100,000mW. A received power level of 1 pW is therefore expressed as 0.00000001mW.
- Making power calculations using decimal arithmetic is therefore complicated.
- To solve this problem, the dBm system is used.

[Scott and Frobenius, 2008, Fig 1.1]

#### Range of RF Power in Watts and dBm



## dB and dBm

- The decibel scale expresses factors or ratios logarithmically.
- Unitless dB value
  - Represent power ratio:  $10\log_{10}\frac{P_2}{P_1}$
- dB value with a unit
  - Represent the signal power itself:

$$P[dBW] = 10 \log_{10} \frac{P}{1 W}, \qquad P[dBm] = 10 \log_{10} \frac{P}{1 mW}$$

• Note that P[dBm] = P[dBW] + 30

## Remark

- Adding dB values corresponds to multiplying the underlying factors, which means multiplying the units if they are present.
- It is therefore appropriate to add unitless dB values to a dB value with a unit (such as dBm)
  - The result is still referred to that unit.
  - Ex: 17 dBm + 13 dB 6 dB = 24 dBm
    - Correspond to  $50 \text{ mW} \times 20 / 4 = 250 \text{ mW}$ .

## Doppler Shift: 1D Move

• At the transmitter, suppose we have

 $\sqrt{2P_t}\cos\left(2\pi f_c t + \phi\right)$ 

• At distance r (far enough), we have r Time to travel a distance of r

$$\frac{\alpha}{r}\sqrt{2P_t}\cos\left(2\pi f_c\left(t-\frac{r}{c}\right)+\phi\right)$$

• If moving, r becomes r(t).

• If moving *away* at a constant velocity *v*, then  $r(t) = r_0 + vt$ .

$$\frac{\alpha}{r(t)}\cos\left(2\pi f_c\left(t-\frac{r_0+vt}{c}\right)+\phi\right) = \frac{\alpha}{r(t)}\cos\left(2\pi \left(f_c-f_c\frac{v}{c}\right)t-2\pi f_c\frac{r_0}{c}+\phi\right)$$

Frequency shift

$$\Delta f = \frac{v}{\lambda}$$

#### **Review: Instantaneous Frequency**

For a generalized sinusoid signal

 $A\cos(\theta(t)),$ 

the **instantaneous frequency** at time *t* is given by

$$f(t) = \frac{1}{2\pi} \frac{d}{dt} \theta(t).$$

When 
$$\theta(t) = 2\pi f_c \left( t - \frac{r(t)}{c} \right) + \phi$$
,  
 $f(t) = \frac{1}{2\pi} \frac{d}{dt} \theta(t) = f_c - \frac{f_c}{c} \frac{d}{dt} r(t) = f_c - \frac{1}{\lambda} \frac{d}{dt} r(t)$   
Frequency shift

## **Big Picture**

#### Transmission impairments in cellular systems

Physics of radio propagation

#### Extraneous signals

Transmitting and receiving equipment

Attenuation (Path Loss) Shadowing Doppler shift Inter-symbol interference (ISI) Flat fading Frequency-selective fading Co-channel interference Adjacent channel interference Impulse noise White noise White noise Nonlinear distortion Frequency and phase offset Timing errors [Myung and Goodman, 2008, Table 2.1]